Original Investigation

Secondhand Smoke Transfer in Multiunit Housing

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Abstract

Introduction: The home can represent a significant source of secondhand smoke (SHS), especially for individuals who live in close proximity to one another in multiunit housing (MUH). The objective of this study was to quantify real-time SHS transfer between smoke-permitted and smoke-free living units within the same MUH structure.

Methods: Air monitors were used to assess PM_{2.5}, an environmental marker for SHS, in 14 smoke-free living units and 16 smoke-permitted units within 11 MUH buildings in the Buffalo, New York, area between July 2008 and August 2009. Air monitors were operated concurrently in both smoke-permitted and smoke-free units within each building. When feasible, additional monitors were stationed in shared hallways and on outdoor patios. Participants completed logs to document activities that could affect air quality.

Results: Evidence of SHS transfer from smoke-permitted units was detected in 2 of the 14 smoke-free units and 6 of the 8 hall-ways. Real-time $PM_{2.5}$ plots and participant logs suggest that SHS transfer is a function of many determinants, including ventilation and proximity between units. Following stratification by time of day, median $PM_{2.5}$ levels were greatest between 4:00 PM and 11:59 PM but varied by location: $10.2 \,\mu\text{g/m}^3$ in smoke-free units, $18.9 \,\mu\text{g/m}^3$ in hallways, and $29.4 \,\mu\text{g/m}^3$ in smoke-permitted units.

Conclusions: This study documents SHS incursions from smoke-permitted units into smoke-free units and adjacent hall-ways within the same building. Since many factors appear to impact the amount of SHS transfer between these areas, the implementation of a smoke-free building policy represents the most effective way to ensure that residents of MUH units are not exposed to SHS.

Introduction

Secondhand smoke (SHS), or tobacco smoke pollution, consists of a mixture of gases and particulate matter generated from the

mouth of a smoker after taking a puff on a cigarette (mainstream smoke) or from the burning end of a smoldering cigarette (sidestream smoke) (National Toxicology Program [NTP], 2005). SHS has been shown to cause significant morbidity and mortality among both adults and children who do not smoke (U.S. Department of Health and Human Services [USDHHS], 1986, 2006). Each year, SHS accounts for an estimated 50,000 deaths among adult nonsmokers, including approximately 3,400 from lung cancer and between 22,700 and 69,600 from heart disease (California Environmental Protection Agency, 2005). SHS has also been classified as a human carcinogen by the U.S. Environmental Protection Agency (USEPA), NTP, U.S. Surgeon General, and International Agency for Research on Cancer (IARC) (IARC, 2004; NTP, 2005; USDHHS, 2006; USEPA, 1992). The health effects of SHS are believed to be dependent upon both intensity and length of exposure (Davis, 1998), where intensity is a function of smoking rate, ventilation, and the size of the microenvironment (USDHHS).

Public advocacy and scientific inquiry have prompted many municipalities to adopt bans on smoking in public areas (Eriksen and Cerak, 2008). As of April 2010, an estimated 74.2% of the U.S. population was covered by either a state or a local law that prohibits smoking inside workplaces, bars, or restaurants (Americans for Non-Smokers' Rights, 2010). However, relatively few regulatory entities have instituted restrictions on smoking in personal living areas (Center for Social Gerontology, 2009), which represent an increasing and significant source of SHS exposure for many individuals (Klepeis et al., 2001; USDHHS, 2006).

The Surgeon General's Call to Action to Promote Healthy Homes has stressed the importance of instituting smoke-free home policies (USDHHS, 2009). Such policies have been shown to reduce SHS exposure in the home (USDHHS, 2006), increase cessation among smokers, and decrease relapse among former smokers (Hyland et al., 2009; Mills, Messer, Gilpin, and Pierce, 2009). Although the prevalence of smoke-free home policies varies by region, approximately 40% of U.S. smokers and 80% of nonsmokers report that smoking is prohibited in their home (Giovino et al., 2009). Nonetheless, the potential for exposure in

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the home, including the health effects associated with such exposure, remains significant. Americans spend nearly 69% of their time in personal living spaces (Klepeis et al., 2001), and the adverse health effects associated with SHS are intensified with increasing length of exposure (Davis, 1998). Moreover, SHS exposure in the home has been linked to an increased risk of heart disease and lung cancer in nonsmokers (USDHHS), and metabolites of tobacco-specific lung carcinogens attributable to SHS have been observed in nonsmokers with a spouse who smokes (Anderson et al., 2001).

Nonsmokers who reside in multiunit housing (MUH) do not have the same level of control over exposure to SHS as those who live in single-unit housing, since they may share the same air space as those who smoke in adjacent units. Measurements of ventilation and infiltration systems in MUH show that a significant fraction of air entering living units originates from elsewhere in the building (Hewett, Sandell, Anderson, and Niebuhr, 2007; Repace, 2007). Moreover, almost all respirable suspended particulates (RSP) emitted from burning cigarettes are less than 2.5 µm in diameter (PM, 5), which are easily inhaled into the lungs (Klepeis, Apte, Gundel, Sextro, and Nazaroff, 2003) and capable of infiltrating through building cracks (Liu and Nazaroff, 2003; Thatcher, Lunden, Revzan, Sextro, and Brown, 2003). Furthermore, even brief exposure to SHS can have adverse effects on nonsmokers, especially those with preexisting respiratory and cardiac conditions (Institute of Medicine, 2009). Specific effects of brief exposure include sustained vascular injury (Heiss et al., 2008) and irritation of the eyes and nasal passages (Junker, Danuser, Monn, and Koller, 2001).

To date, few studies have quantitatively assessed indicators of SHS exposure in homes. Leaderer and Hammond (1991) first investigated PM_{2.5} in relation to SHS in 96 separate residences and found that levels in smoke-permitted homes (44 µg/m³) were 3 times greater than levels in smoke-free homes (15 µg/m³). In a subsequent review, Wallace (1996) found that levels in smoke-permitted homes were between 25 and 47 µg/m³ higher than those observed in smoke-free homes. In addition, Van Deusen et al. (2009) examined PM_{2.5} levels within 13 personal residences, 6 of which were single-family homes and the remaining 7 of which were within multiunit buildings. The authors observed elevated PM_{2.5} levels in both smoke-permitted and smoke-free areas within the assessed homes, which suggests that the confinement of smoking to certain areas of the home does not offer protection from SHS exposure. Most recently, Kraev, Adamkiewicz, Hammond, and Spengler (2009) assessed vapor phase nicotine in MUH and found detectable levels of nicotine contamination in 89% of smoke-free units. Although the latter study suggests that tobacco smoke contamination is not limited to only smoke-permitted units, the study design did not allow for an assessment of real-time transfer between units.

To date, no single compound has been identified as a valid indicator for every constituent of SHS. However, there are certain environmental markers, which are sufficiently specific to SHS that can provide a valid estimate of the overall magnitude, duration, and frequency of exposure (USDHHS, 2006). One such marker is RSP, which can be assessed in real time using relatively low cost, and standardized, measurement techniques (Jaakkola and Jaakola, 1997). The primary benefit of using real-time monitors to assess SHS is that pollution levels can be

correlated with specific instances of active smoking and then tracked over time and space to identify mechanisms of exposure. Additionally, real-time monitors can measure RSP levels over a period of seconds, thus enabling researchers to determine peak pollution levels and to make direct comparisons with existing health standards and outcomes (Klepeis, Ott, and Switzer, 2007).

To our knowledge, no study has assessed the extent to which SHS distributes throughout MUH in real time. Therefore, the objective of this study was to simultaneously assess real-time PM_{2.5} levels in smoke-permitted living units, smoke-free living units, and shared hallways within the same MUH building.

Methods

Participants

Participants for this study were recruited between July 2008 and August 2009 via personal contacts, Internet advertisements, and flyer postings in the Buffalo, New York, area. Participant selection included an initial screening process to identify individuals who currently reside in MUH structures comprised both smoke-permitted and smoke-free units. A smoke-permitted unit was defined as a personal living unit in which the resident reported that smoking occurred on a daily basis, while a smokefree unit was defined as a unit in which the resident reported that smoking was completely prohibited. After individuals from both types of units were identified within a single MUH structure, participants were formally invited to participate and the following eligibility criteria were verified: (a) willingness to allow research staff to enter and place continuously operating air monitoring equipment in their unit for at least 72 hr and (b) willingness and ability to keep a daily activity log describing the presence and time of activities that could affect air quality levels. Although an eligible building had to contain at least one smokepermitted unit and one smoke-free unit, there was no set limit as to the quantity of units that could participate within a single building.

Procedures

Research staff visited each eligible residential unit to measure room dimensions, obtain informed consent, administer a brief questionnaire, provide instructions on completing the daily activity log, and setup the air monitoring equipment. Air monitors were simultaneously positioned in smoke-permitted and smokefree units within the same building and were operated concurrently during the assessment period. Within each unit, air monitors were placed in a location identified as the primary living area, which was most commonly the living room for both smoke-permitted (94%) and smoke-free (86%) units. When feasible, additional monitors were also simultaneously stationed in a shared hallway between participating units and on the outdoor patios of smoke-free units. The patio monitors were included in the study to provide a control measure to which the indoor locations could be compared. Criteria for feasibility of a hallway and/ or outdoor monitor included the presence of both an electrical source and a structure to which the monitor could be securely locked. To corroborate the validity of PM2,5 levels, vapor phase nicotine, a highly specific indicator of tobacco smoke (Jaakkola and Jaakola, 1997), was assessed in one of the buildings (Building 11). Hourly outdoor PM25 levels were also obtained from a nearby Department of Environmental Conservation monitoring station with the intent of confirming the validity of the levels measured on the patios.

All participants were instructed to keep a daily activity log detailing occurrences that could affect air quality levels, including smoking, cooking, pyrolosis (candle burning or non-tobacco smoking event), use of electrical appliances, and window or door placement. After approximately 72 hr, research staff retrieved the equipment and provided participants with a \$50 compensation check. All research procedures were approved by the Institutional Review Board at Roswell Park Cancer Institute.

Equipment

TSI SidePak AM510 Personal Aerosol Monitors (TSI, Inc., St. Paul, MN; Figure 1) were used to assess RSP levels in real time. This device is a scientifically validated tool that has previously been used to quantify SHS exposure in both public (Alpert, Carpenter, Travers, and Connolly, 2007; Jones et al., 2006; Repace, 2004; Travers et al., 2004) and personal living (Van Deusen et al., 2009) areas. The SidePak functions via a built-in sampling pump which draws continuously streaming aerosol into a sensing chamber where it is illuminated by a laser light. Particles in the aerosol stream scatter the light, which is quantified by a photometer and converted to the mass concentration of the aerosol. The specific class of RSP assessed was PM_{2,2}, or particulate matter with a diameter less than 2.5 µm; particles of this size are released in significant quantities from burning cigarettes and can easily be inhaled into the lungs (Travers et al.). Prior to each assessment, the SidePak was calibrated in accordance with the manufacturer's specifications, the flow rate was set at 1.7 L/min, and the logging interval was set to 1 min.

For the validation assessment, vapor phase nicotine was evaluated in 2 units of a 3-unit building (Building 11), using an AirChek 52 air sampling pump (SKC Inc., Eight Four, PA) and XAD-4 sorbent tube set at a flow rate of 1.0 L/min. Calibration standards were analyzed before and after data collection and nicotine levels were assessed relative to a field blank. Resultant

nicotine concentrations were then compared to expected concentrations derived from PM_{2.5} levels observed in the units during the same time period (Repace and Lowrey, 1993).

Definition of Measure

The primary outcome of interest was SHS transfer between smoke-permitted and smoke-free units. Transfer was defined as any instance in which to bacco combustion was documented on the activity log of a smoke-permitted unit and corresponding temporal increases in $\mathrm{PM}_{2.5}$, which could not be attributed to an exogenous source, were observed in both the smoke-permitted unit and the smoke-free unit or hall way within the same building. An exogenous source was defined as appliance use (including cooking) or pyrolosis (can dle burning or non-tobacco smoking event) recorded in the activity logs.

Data Analysis

Data were downloaded for analysis using TrakPro software (TSI, Inc., St. Paul, MN), and a calibration factor reflective of SHS particles (0.32) was subsequently applied (Travers et al., 2004; Van Deusen et al., 2009). Median PM_{2.5} levels (micrograms per cubic meter) were calculated both overall and by 8-hr increments (12:00 AM to 7:59 AM, 8:00 AM to 3:59 PM, 4:00 PM to 11:59 PM), while linear regression was used to assess trends in PM_{2.5} across monitor locations. Monitoring time, household volume, and quantity of cigarettes were used to ascertain the cigarette density of each smoke-permitted unit (cigarettes/hr/100 m³).

Real-time data plots were constructed, and daily activity logs were matched with $PM_{2.5}$ data to assess transfer between monitor locations and the relative contribution of smoking, ventilation, and other sources of particulate matter. In an effort to explore the temporal dynamics of particulate transfer, the correlation between $PM_{2.5}$ levels in smoke-permitted and smoke-free units was examined using varying lag times between monitor locations. The lag time required to achieve the greatest

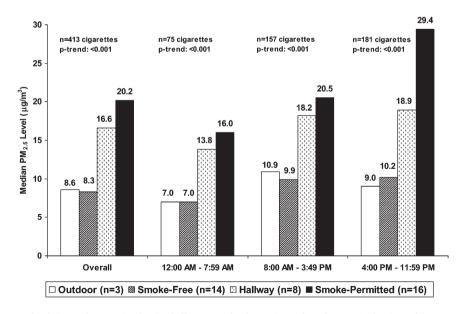


Figure 1. Median PM_{2.5} levels in smoke-permitted units, hallways, smoke-free units, and outdoor patios by time of day.

lable 1. Continued

48.6 148.8 30.7 25.0 18.7 14.7 30.1 19.6 24.8 42.6 428.7 251.2 49.9 140.8 132.8 99.4 40.0 333.3 125.4 174.4 0.91 7.7 21.4 40.6 30.7 47.4 95.7 5.1 Table 1. Descriptive Summary of Smoke-Permitted (n = 16), Smoke-Free Units (n = 14), Hallways (n = 8), and Outdoor Patios (n = 3) 19.2 15.0 12.2 8.3 16.0 313.8 33.0 57.0 19.5 4.8 11.5 13.4 15.7 6.1 PM_{2.5} percentile levels 115.4 16.0 53.4 11.2 12.2 8.6 22.4 8.3 15.7 11.5 2.6 10.6 147.7 5.8 4.5 Median PM_{2.5} $(\mu g/m^3)$ 16.0 86.1 22.7 4.2 34.2 16.6 19.2 17.0 38.1 229.6 Cigarette density^a (cig/hr/100 m³) 0.1 0.1 0.1 0.2 0.2 0.1 0.2 0.1 1.5 1.5 Gigarettes smoked (n)26 50 37 111 111 118 115 223 223 223 114 114 117 110 110 Sampling time (hr) Building location First/second^c Ground Second Second Ground Second Second Second Second Second Ground Second Second Second Second Second First Building size Four unit Fhree unit **Three** unit Two unit Four unit Four unit Pour unit Four unit Four unit **Iwo** unit **Fwo unit** Fwo unit 11 unit Smoke permitted Monitor location Smoke free Hallway Unit ID **Building ID**

	90th	49.0	106.2	39.4	14.7	9.3
PM _{2.5} percentile levels	75th	23.4	6.76	32.3	12.8	4.5
	25th 75th	9.3	88.3	10.2	5.1	1.3
	10th	8.3	85.4	6.7	3.8	1.0
Median PM _{2.5} (μg/m³)		12.2	6.06	18.2	9.3	2.6
Cigarette density ^a (cig/hr/100 m³)		p	Р	Р	Ф	Р
Cigarettes smoked (n)		p	P	Р	Р	ф
Sampling time (hr)		10	47	72	75	70
Building location (floor)		First/second ^c	First/Second ^c	First	First	Second
Building size (units)		Two unit	Three unit	11 unit	11 unit	11 unit
Monitor location		Hallway	Hallway	Outdoor patio	Outdoor patio	Outdoor patio
uilding ID Unit ID		Ф	p	p	p	Ф
Building ID		6	11	1	2	5

Note. "Cigarette density is the number of cigarettes smoked in the living unit per hour of sampling time per 100 m² of living unit volume.

'Air monitors were placed in shared hallways between floors.

spearman rho correlation represents the time it takes for SHS to transfer between units (Van Deusen et al., 2009).

Results

Air quality data were obtained from 30 living units within 11 different MUH structures. Table 1 presents descriptive summary statistics corresponding to the assessed smoke-permitted units (n = 16), smoke-free units (n = 14), hallways (n = 8), and outdoor patios (n = 3). Monitors ran for a total of 981 hr in smoke-permitted units (median = 72 hr; range 8–72), 938 hr in smoke-free units (median = 72 hr; range 12–72), 460 hr in hallways (median = 70 hr; range 10–72), and 217 hr in outdoor patios (median = 72 hr; range 70–75). Assessed buildings varied in size between 2 and 11 total units, with a median unit volume of 155 m³ (range 120–180).

The activity logs completed by participants indicated that smoking occurred on a daily basis in each of the smokepermitted units. A total of 413 cigarettes (median = 20, range = 10–93) were smoked within the smoke-permitted units during the monitoring periods, while no cigarettes were smoked within the smoke-free units. The amount of cigarettes smoked per day, as reported at baseline, was correlated with the quantity actually smoked during the assessment period ($\rho_{spearman} = 0.596$, p = .015). Cigarette density (median = 0.2 cigarettes/hr/100 m³, range = 0.1–1.6) trended positively with median PM_{2.5} ($\rho_{spearman}$ = 0.766, p = .001), and cumulative vapor phase nicotine concentrations (smoke-permitted unit = 5.73 µg/m³ and smoke-free unit = $0.20 \,\mu g/m^3$) were comparable to expected nicotine concentrations (4.10 and 0.44 µg/m³) derived from mean PM_{2.5} levels observed during the same period (Repace and Lowrey, 1993). Similarly, the median PM, 5 level observed on the assessed patios (8.6 µg/m³) did not significantly differ from the outdoor level measured by the nearby Department of Environmental Conservation monitoring station during the same time period (9.9 μ g/m³).

Figure 1 presents median $PM_{2.5}$ levels in smoke-permitted units, hallways, smoke-free units, and outdoor patios both overall and stratified by time of day. Overall median $PM_{2.5}$ levels were 20.2 $\mu g/m^3$ in smoke-permitted units (individual unit range: 4.2–229.6 $\mu g/m^3$), 16.6 $\mu g/m^3$ in hallways (individual hallway range: 4.5–90.9 $\mu g/m^3$), 8.3 $\mu g/m^3$ in smoke-free units (individual unit range: 1.6–15.7 $\mu g/m^3$), and 8.6 $\mu g/m^3$ on outdoor patios (individual patio range: 2.6–18.2 $\mu g/m^3$). Following stratification by time of day, median $PM_{2.5}$ levels were greatest in all three indoor locations between 4:00 PM and 11:59 PM, the same time period in which the most cigarettes were smoked (n=181; 43.8%). Regardless of the time of day, a significant trend (p<0.01) in $PM_{2.5}$ levels was observed across monitor locations (Figure 1).

Evidence of SHS transfer from smoke-permitted units was detected in 2 of the 14 smoke-free units (14%) and 6 of the 8 hallways (75%). Examples of specific instances of transfer between units are presented in Figure 2. Figure 2 shows PM_{2.5} levels over a 1-hr period on the second floor of a 11-unit MUH structure. Aside from an open patio door in the smoking unit, no other instances of appliance use, pyrolosis, or ventilation were reported on activity logs during the presented timeframe. The lag time resulting in the highest correlation between peak

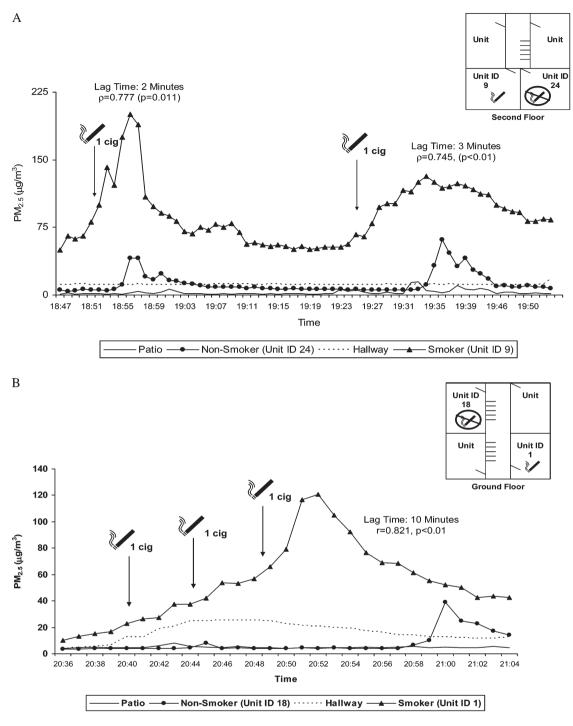


Figure 2. (a) Illustration of real-time changes in $PM_{2.5}$ levels in a multiunit residential building (Building 5). Note: The patio door of the smoke-permitted unit was open during the timeframe presented. No other instances of appliance use, pyrolosis, or ventilation were reported during this timeframe. No air monitoring was conducted in unlabeled units. (b) Illustration of real-time changes in $PM_{2.5}$ levels in a multiunit residential building (Building 1). Note: The front door of the smoke-permitted unit was opened during the timeframe presented. No other instances of appliance use, pyrolosis, or ventilation were reported during this timeframe. No air monitoring was conducted in unlabeled units.

monitor readings was 2 min for the first smoking occurrence and 3 min for the second occurrence. The constant $PM_{2.5}$ levels in the hallway implicate the shared wall between units as the primary mechanism of transfer. Similarly, Figure 2 shows $PM_{2.5}$ levels over a 30-min period in a 11-unit MUH structure. The front door of the smoke-permitted unit was opened during the

presented timeframe and the hallway monitor was located immediately outside the entrance to the smoke-permitted unit. Following the smoking events in the smoke-permitted unit, elevated $PM_{2.5}$ levels were observed in the hallway. Immediately following the door's closure, levels diminished in the hallway. Shortly thereafter, elevated levels were observed in the smoke-free

unit down the hall. No instances of appliance use or pyrolosis were reported in either of the units immediately before or during this time period. The lag time resulting in the highest correlation between peak levels was 10 min. The elevated PM_{2.5} levels observed in the smoke-permitted unit following the reported instances of smoking, coupled with the elevated levels observed in the hallway following the period during which the front door of the smoke-permitted unit was opened, implicate the open door of the smoke-permitted unit as the primary mechanism of transfer.

Discussion

This study documents SHS incursions from smoke-permitted units to smoke-free units and shared hallways within the same MUH building. Therefore, the estimated 62.2 million individuals who reside in smoke-free units within smoke-permitted buildings across the United States (Giovino et al., 2009; U.S. Census Bureau, 2009) may be susceptible to involuntary SHS incursions and the health risks associated with such exposures.

The PM $_{2.5}$ levels observed in this study were comparable in magnitude to those observed by Van Deusen et al. (2009) in their assessment of SHS transfer within homes, which included seven MUH units. These levels suggest that individuals who reside in close proximity to one another in MUH are especially vulnerable to compromised air quality from SHS incursions originating in units where smoking is permitted. The quantity of cigarettes smoked and median PM $_{2.5}$ levels in the present study were greatest between 4:00 PM and 11:59 PM, which corresponds to the time period when persons are typically present in their home (Klepeis et al., 2001).

While our data provide evidence of SHS transfer from smoke-permitted units into smoke-free units and shared hallways, a thorough analysis of real-time data plots and participant activity logs indicates that the extent of transfer is dependent upon many determinants, including ventilation and distance between smoke-permitted and smoke-free units. Since ventilation systems and the physical separation of nonsmokers from smokers do not fully eliminate SHS (Cains, Sannata, Poulos, Ferson, and Stewart, 2005; Repace, 2004; Repace, Hyde, and Brugge, 2006; Repace and Lowrey, 1980, 1985), prohibiting smoking in MUH is the only effective means of completely protecting nonsmokers from exposure (USDHHS, 2006). There are currently no federal or state laws that prohibit MUH operators from prohibiting smoking on their properties (Schoenmarklin, 2005). Moreover, the legal permissibility of these policies extends to MUH subsidized through the U.S. Department of Housing and Urban Development (USDHUD), which strongly encourages Public Housing Authorities to restrict smoking in their buildings (USDHUD, 2009).

To our knowledge, this study is the first to assess real-time SHS transfer between smoke-permitted and smoke-free living units within the same MUH structure. Strengths of this study include the use of a scientifically validated monitoring instrument capable of real-time data acquisition, the inclusion of MUH structures with varying quantities of living units, and the utilization of daily activity logs to account for factors that can confound air quality levels. However, there are also some limitations that should be acknowledged. First, the study assessed particles less

than 2.5 µm in diameter (PM25), which are emitted from many combustible materials and thus not specific to tobacco smoke; however, cigarette smoke has previously been shown to serve as a major source of PM, s, especially when background levels are low (Travers et al., 2004). Moreover, vapor phase nicotine levels collected in one of the assessed buildings were comparable to expected concentrations derived from PM25 levels observed during the same time period. A second limitation is the inability to control for extraneous sources of PM_{2.5} from nonparticipating units. However, in order to reduce the potential for confounding from unmeasured units, a rigorous definition was used to establish SHS transfer from a participating smoke-permitted unit to a nearby participating smoke-free unit and/or hallway within the same building. This definition required that an instance of SHS transfer included a documented smoking event, followed by temporally elevated PM25 levels in both the smoke-permitted unit and the smoke-free unit, which could not be attributed to any other documented source. Finally, outdoor air quality was assessed for only 3 of the 11 buildings as a result of safety and logistical constraints. Nonetheless, outdoor PM, s levels measured by a nearby Department of Environmental Conservation monitoring station were comparable to those observed on the assessed patios during the same time period.

In conclusion, the results of this study indicate that SHS can transfer between living units within the same MUH building. However, further research is needed to substantiate causality. More specifically, there is a need for the development of technology that is capable of tracking transfer between units in real time using a marker specific to SHS. In the present absence of such technology, evaluations of airborne particulate should be conducted under controlled conditions in which the presence of active smoking and potential confounders is documented for every unit in the building. Nonetheless, the present findings suggest that the implementation of a 100% smoke-free building policy remains the most effective way to ensure that residents of MUH units are not exposed to SHS.

Declaration of Interests

None declared.

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